Irreversible Denaturation of Proteins through Aluminum-Induced Formation of Backbone Ring Structures**

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Abstract: A combination of ab initio calculations, circular dichroism, nuclear magnetic resonance, and X-ray photoelectron spectroscopy has shown that aluminum ions can induce the formation of backbone ring structures in a wide range of peptides, including neurodegenerative disease related motifs. These ring structures greatly destabilize the protein and result in irreversible denaturation. This behavior benefits from the ability of aluminum ions to form chemical bonds simultaneously with the amide nitrogen and carbonyl oxygen atoms on the peptide backbone.

Aluminum is widely used in antimicrobial coagulants,[1–5] food additives, and cookware.[6] However, it has been reported that high doses of aluminum can cause neurotoxicity,[7] which is associated with an altered function of the blood–brain barrier.[8] There are many examples of aluminum neurotoxicity in animals and in humans, with evidence linking clinical disorders to aluminum exposure.[9–10] Such illnesses include Parkinson’s disease,[9] Alzheimer’s disease,[10–12] amyotrophic lateral sclerosis,[13] macrophagic myofasciitis,[14] anemia,[15] bone marrow fibrosis,[16] renal dysfunction, and chronic renal failure.[17] It has been hypothesized that Al is a critical factor in the etiopathogenesis of neurodegenerative diseases, particularly Alzheimer’s disease.[10–12] Despite this close relationship between aluminum and health, the mechanisms behind these aluminum-related diseases are poorly understood, especially on the molecular level, which limits efforts to prevent and treat these diseases.

By using a combined approach with ab initio calculations, circular dichroism (CD), nuclear magnetic resonance (NMR), and X-ray photoelectron spectroscopy (XPS) we show herein that aluminum ions can surprisingly induce the formation of backbone ring structures in a wide range of peptides, including neurodegenerative disease related motifs. These ring structures largely destabilize the protein and result in irreversible denaturation. This behavior benefits from the ability of aluminum ions to form chemical bonds simultaneously with both the amide nitrogen and carbonyl oxygen atoms on the peptide backbone.[18] The XPS experiments, in particular, strongly support the theoretical prediction that the aluminum ions bound to the protein are reduced to a large extent from Al³⁺ ions through the simultaneous bonds to the nitrogen and oxygen atoms. These findings provide a molecular-level understanding of the potential mechanism underlying aluminum-induced neurotoxicity, and may be helpful in novel drug design for aluminum-related diseases, and even provide clues for the treatment of aluminum-polluted water.

To illustrate the formation of an Al-induced backbone ring structure in a peptide, we first modeled theoretically the interactions of the poly-Ala peptide HCO-[Ala]₅-NH₂ (Figure 1a,d) and the hydrated Al ion [AlOH(H₂O)₄]²⁺ (Figure 1a). HCO-[Ala]₅-NH₂ represents a typical motif in a neurodegenerative-disease-related protein.[21] The five-
coordinate hydrated Al ion can exist in the biochemically critical pH range of 4.3 to 7.0.\textsuperscript{[22]}

First, the hydrated Al ion can strongly interact with an oxygen atom on the peptide backbone. The resulting state is referred to as State I (Figure 1b), in which the hydrated Al ion bound to the oxygen atom O2 (-O2-) on the backbone is denoted as -O2-AlOH(aq), with “aq” denoting the water molecules in the hydration sphere. The binding energy of this state was computed by an ab initio method based on the second-order Møller–Plesset perturbation theory (MP2).\textsuperscript{[23]}

The binding energy (Figure 2) reaches $-27.05$ kcal mol$^{-1}$ ($-45.36 k_B T$ at $T=300$ K), which means that the hydrated Al ion is stably bound to -O2-, while one water molecule initially bound to the Al ion is released. Our classical molecular dynamics (MD) simulations indicate that the Al ion can easily diffuse to be near the oxygen atom of the backbone (see the detailed MD results in Section 2.1 of the Supporting Information). Therefore, -O2- can stably bond to the Al ion in water.

The Al ion in -O2-AlOH(aq) can further interact with the nitrogen atom N1 (-N1-) neighboring -O2- on the peptide backbone, thereby resulting in a ring structure that includes Al, N1, and O2, as well as the two neighboring carbon atoms C2 and C3 in the backbone (Figure 1c). This state is referred to as State II (denoted -O2-Al(OH(aq))-N1-). The binding energy of State II (Figure 2) reaches $-50.71$ kcal mol$^{-1}$ ($-85.04 k_B T$ at $T=300$ K), which indicates that the Al ion bound to the backbone is more stable in State II than in State I. The hydrogen atom H1 initially bound to -N1- is substituted by the Al ion, while one water molecule initially bound to the Al ion is released. Occupied valence orbitals of the structure -O2-Al(OH(aq))-N1- contain electrons from the Al ion as well as the -N1- and -O2- atoms. For example, Al and N1 are connected through the highest occupied molecular orbital (HOMO; Figure 3a), while Al, N1, and -O2- are connected through the occupied orbital HOMO-5 (Figure 3b). Moreover, natural-bond-orbital (NBO) analysis\textsuperscript{[24]} indicates that an Al–N1 bond is composed of 9.4% from the Al valence orbitals and 90.6% from the -N1- valence orbitals, while an Al–O2 bond is composed of 7.3% from the Al valence orbitals and 92.7% from the -O2- valence orbitals. Furthermore, we found that the Al ion bound with the peptide in State II only had a Mulliken charge\textsuperscript{[25]} of $+0.94 e$, which indicates that the Al ion in the peptide is largely reduced compared to a $\text{Al}^{3+}$ ion. Therefore, the Al-induced ring formation in the presence of water is largely due to both the ionic and covalent nature of the bonds between the Al ion and the nitrogen or oxygen atoms on the peptide backbone.

Numerical simulations using an ab initio method based on density functional theory (DFT) suggest that the Al-induced ring can destroy the peptide helix irreversibly under biochemical conditions. Without the Al ion (Figure 1d), this peptid form a helix that is stabilized by three hydrogen bonds N1–H1–O4, N2–H2–O5, and N3–H3–O6, with a hydrogen–oxygen distance of about 1.80 Å. When an Al ion induces ring formation (Figure 1e,g), the H1–O4 and H3–O6 distances become much larger, and the N2–H2 bond in N2–H2–O5 is cleaved. These changes indicate severe damage to the helix. More interestingly, the dihedral angle O1-C1-C2-N2 in the original helix can rotate freely; however, it is now rigidly fixed at around 179° through the formation of the Al-induced ring with a strong binding energy of $-50.71$ kcal mol$^{-1}$ ($-85.04 k_B T$ at $T=300$ K). Thus, the damage to the helix is irreversible under biochemical conditions. We expect that the Al-induced rings will also lead to misfolded structures in β-sheets, similar to α-helices, as a consequence of their severe influence on the peptide backbone. These misfolded structural units in the protein backbone will undoubtedly lead to serious damage to the secondary structures.

We have further investigated the behaviors of other ions (Na$^+$, K$^+$, Mg$^{2+}$, and Ca$^{2+}$) to show that the Al ion is uniquely involved in the formation of the ring structure. We computed the binding energies of other hydrated ions [M(H$_2$O)$_n$]$^{+}$ ($n=1$ for $M=\text{Na}$ or K, $n=2$ for $M=\text{Mg}$ or Ca) bound to the backbone in the same manner as the hydrated Al ion in States I and II. The binding energies in State I (Figure 2) for the hydrated Na$^+$ ($-3.92$ kcal mol$^{-1}$) and K$^+$ ($-1.98$ kcal mol$^{-1}$) ions is comparable to the thermal fluctuation in water at room temperature, which means that Na$^+$ and K$^+$ cannot be stably bound to -O2-. The binding energies for Mg$^{2+}$ and Ca$^{2+}$ ions in State I are more than 10 kcal mol$^{-1}$ less than that of the Al ion, which indicates that a potential Mg- or Ca-induced
State I would be substantially less stable than State I for the Al ion. Moreover, the positive binding energies of the Mg- and Ca-induced State II indicates that these states are unstable. Thus, unlike the Al ion, Mg$^{2+}$, Ca$^{2+}$, Na$^+$, and K$^+$ ions cannot induce ring formation on the backbone, since the bonds involving these ions do not have as much covalent character as the involved Al bonds.

To validate these surprising findings of the Al ion induced peptide conformational changes, we performed circular dichroism (CD) experiments with the N-terminal domain of phosphoglycerate kinase (PGK, Protein Data Bank (PDB) code 1PHP, see Section 2.2 in the Supporting Information for details) as an example, which is related to mental disorders.[26] As reported in the literature[27] and demonstrated herein, this PGK domain can thermally unfold and refold reversibly (on adjustment of the temperature), and is rich in nonpolar and neutral amino acids (e.g. glycine, alanine, and valine; see Figure S2 in the Supporting Information).

CD data (Figure 4) suggest that only Al ions have a strong influence on the PGK denaturation process and can induce irreversible denaturation. The CD signals reach the first plateau when the temperature reaches about 338 K, and then suddenly increase at about 353 K to the second plateau. Further experiments show that the CD signals can recover if the temperature is decreased once the first plateau is reached, but cannot recover from the second plateau. These findings indicate that the first denaturation is reversible, but the second one is irreversible. Moreover, aggregates were clearly indicated, which is related to mental disorders.[26] As suggested by the CD and NMR experiments (see Section 2.4 of the Supporting Information for details), the Al-bound denatured state can irreversibly form aggregates, further shifting the equilibrium.

We performed X-ray photoelectron experiments with the irreversibly denatured and aggregated PGK protein to demonstrate that the Al ion is simultaneously bound to the amide nitrogen and carbonyl oxygen atoms of the peptide backbone. There are two peaks in the X-ray photoelectron spectrum at 117.3 ± 0.2 eV and 122.6 ± 0.2 eV (Figure 5a).

For comparison, we have also measured the spectra of metallic Al and Al$_2$O$_3$ (Figure 5b), which have peaks at 117.8 ± 0.2 eV and 120.8 ± 0.2 eV, respectively. The spectra of the metallic Al and Al$_2$O$_3$ are consistent with the reported values of 117.7 eV for metallic Al[29] and the value of 121.0 eV for an Al$^{3+}$ ion in Al$_2$O$_3$.[29] Interestingly, although the Al in the aggregated PGK protein has clear bonds with neighboring atoms, the peak at 117.3 ± 0.2 eV in the spectrum of the aggregated PGK protein is very close to the value of 117.8 ± 0.2 eV in the spectrum of the Al$^{2+}$ level of metallic Al, and considerably smaller than the value of 120.8 ± 0.2 eV in the spectrum of the Al$^{3+}$ ions from Al$_2$O$_3$. Therefore, the Al ions in the aggregated PGK protein are very similar to metallic Al, and much different from Al$^{3+}$ ions. This agrees well with the theoretical prediction that the Al ions bound to the peptide are reduced to a large extent from Al$^{3+}$ ions. It should be noted that the peak centered at 122.6 ± 0.2 eV is in the area of a Cu 3s photoemission,[30] which indicates that it comes from the Cu substrate.

We have calculated the binding energy of the 2s electron of the Al ion bound simultaneously with the amide nitrogen and carbonyl oxygen atoms of the peptide (-O2-Al[OH(aq)]-)}
N1) through an ab initio method based on relativistic density functional theory (RDFT). As a comparison, the binding energies of the 2s electron of the Al$^{3+}$ ions in AlF$_3$ and AlCl$_3$ were also calculated. Here we used AlF$_3$ and AlCl$_3$ (to provide a range), instead of Al$_2$O$_3$, because it is still nontrivial to directly calculate the XPS spectra, since the current approaches for metallic Al and Al$_2$O$_3$ are still under development. On the other hand, the electronegativity of O at 3.610 falls in-between that of F (4.193) and Cl (2.869), thus it might be reasonable to use AlF$_3$ and AlCl$_3$ to estimate a range to directly calculate the XPS spectra, since the current environments and the Al$^{3+}$ the relative binding energies of the Al ion in protein (with both covalent and ionic characteristics) with the amide behavior of the Al ion lies in its ability to form chemical bonds in the backbone of a protein, largely destroying the secondary structures of the protein. This particular ring structures in the backbone of a protein, largely destroy-

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<th>Ion in peptide</th>
<th>Trivalent Al ion</th>
<th>Relative binding energy</th>
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<tr>
<td>$E_{\text{RDFT}}$ [eV]</td>
<td>118.7</td>
<td>122.1$^b$</td>
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<tr>
<td>$E_{\text{XPS}}$ [eV]</td>
<td>117.3 ± 0.2</td>
<td>120.8 ± 0.2$^a$</td>
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[a] As a result of the different energy shifts in XPS instruments and in RDFT calculations, there are some discrepancies in the absolute binding energies; however, the relative binding energies agree very well between the theory and experiment, which we believe is of more importance, since it captures the “environmental difference” of the Al ions in the peptide and of an Al$^{3+}$ ion. The good agreement of the relative binding energies between the RDFT calculations and XPS experiments strongly supports our theoretical prediction that the Al ion in the peptide has the structure -O2-Al[OH(aq)]-N1- [b] Calculated data of Al$^{3+}$ in AlF$_3$. [c] Calculated data of Al$^{3+}$ in AlCl$_3$. [d] Experimental result of Al$^{3+}$ in Al$_2$O$_3$.

The relative binding energies of the Al ion in protein environments and the Al$^{3+}$ ion from both DFT theory and XPS experiments. Our DFT calculations show an average relative binding energy of -3.0 eV (using both AlF$_3$ and AlCl$_3$ as a base), which agrees very well with that of -3.5 ± 0.4 eV from our XPS experiment (using Al$_2$O$_3$ as a base), which indicates that the Al ions bound to proteins are reduced. Therefore, the XPS observations of the irreversibly denatured and aggregated PGK protein strongly support the theoretical prediction that the Al ion forms chemical bonds simultaneously with the N and O atoms in the form of -O2-Al[OH(aq)]-N1- in the aggregated PGK protein.

As a control XPS experiment, we used a PGK sample prepared with both Al and Mg ions in the solution. This sample exhibits only Al photoemission features (see Figure S3b in the Supporting Information) without any traces related to Mg species within the sensitivity of the experiment. These findings indicate that the Mg ion, unlike the Al ion, cannot interact strongly with the protein.

In summary, based on both theoretical analysis and experiments, we show that Al ions can unexpectedly induce ring structures in the backbone of a protein, largely destroying the secondary structures of the protein. This particular behavior of the Al ion lies in its ability to form chemical bonds (with both covalent and ionic characteristics) with the amide nitrogen and carbonyl oxygen atoms on the backbone. These findings provide a novel mechanism for Al ions interacting with biomolecules, which may have significance in the fields of medicine, biotechnology, and environmental science.

**Materials and Methods**

Computations using ab initio methods: The calculations on the short peptide HCO-Ala-NH$_2$ (C$_4$H$_6$O$_2$H$_4$) were performed using an ab initio method based on second-order Møller–Plesset perturbation theory (MP2). To realize the relaxation of the long peptide HCO-[Ala]$_n$-NH$_2$, we applied an ab initio method based on density functional theory (DFT). The calculations above were carried out using the Gaussian-09 package. Considering the relativistic effect of core electrons, the binding energy of the 2s electron of the Al ion simultaneously bound to the amide nitrogen and carbonyl oxygen atoms in the peptide (-O2-Al[OH(aq)]-N1-) was calculated by the ab initio method based on relativistic density functional theory (RDFT) with the BDF package.

Classical molecular dynamics simulations: We performed classical dynamics simulations using poly-Ala peptide [Ala], in AlCl$_3$ solution with Gromacs 4.0. Considering that an Al ion in water usually binds to one hydroxy group under the biologically critical pH range of 4.3 to 7.0, we applied a form of [Al-OH]$^{2+}$.

Circum molecular dynamics spectra: We performed classical dynamics simulations using poly-Ala peptide [Ala], in AlCl$_3$ solution with Gromacs 4.0. Considering that an Al ion in water usually binds to one hydroxy group under the biologically critical pH range of 4.3 to 7.0, we applied a form of [Al-OH]$^{2+}$.

X-ray photoelectron spectra: Two samples were prepared for X-ray photoelectron experiments: 1) the PGK protein mixed with Al$_2$(SO$_4$)$_3$; 2) the PGK protein with both Al$_2$(SO$_4$)$_3$ and MgSO$_4$. The XPS experiments were performed using a VG ESCALAB 220i-XL instrument equipped with a monochromatic Al Kα source (1846.7 eV photons), a concentric hemispherical analyzer, and a magnetic immersion lens (XL lens) to increase the sensitivity of the instrument. The instrument was calibrated with pure gold. All spectra were recorded in the constant pass energy mode of the analyzer using the monochromatic Al Kα X-ray source.

Full Methods and any associated references are available in Section 1 of the Supporting Information.

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